# A simple proof that super consistency implies cut elimination

#### Olivier Hermant and Gilles Dowek

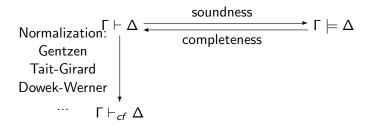
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What are the links between proof normalization and cut admissibility in deduction modulo ?

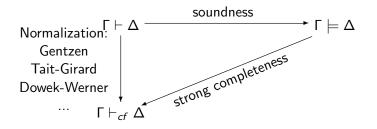
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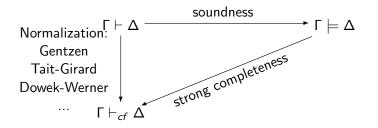


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Normalization implies cut elimination but not the converse

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Deduction Modulo (in a nutshell)

A framework integrating computation to deduction.

A theory : a set of axioms and rewrite rules e.g.

 $x * 0 \rightarrow 0$ 

$$P(0) \rightarrow \forall x Q(x)$$

defining a congruence  $\equiv$ 

• Deduction rules (*e.g.* NJ) take  $\equiv$  into account

 $\frac{\Gamma \vdash A \qquad \Gamma \vdash A \Rightarrow B}{\Gamma \vdash B} \Rightarrow \text{-elim} \qquad \frac{\Gamma \vdash A \qquad \Gamma \vdash C}{\Gamma \vdash C} \Rightarrow \text{-elim}, \ C \equiv A \Rightarrow B$ Some theories have the cut elimination property, some do not ◆□▶ ◆□▶ ◆目▶ ◆目▶ ●目 ● のへの Olivier Hermant and Gilles Dowek

## An example: simple-type theory (intuitionistic HOL)

▶ sorts: 
$$\iota, o, \iota \rightarrow o, \iota \rightarrow \iota, ...$$

$$\begin{array}{rcl} \alpha(\alpha(\alpha(S,x),y),z) & \to & \alpha(\alpha(x,z),\alpha(y,z)) \\ \alpha(\alpha(K,x),y) & \to & x \\ \varepsilon(\alpha(\alpha(\Rightarrow,x),y)) & \to & \varepsilon(x) \Rightarrow \varepsilon(y) \\ \varepsilon(\alpha(\forall_{T},x)) & \to & \forall y \ \varepsilon(\alpha(x,y)) \end{array}$$

first-order encoding of simple-type theory + orientation

## Truth values algebras

- Heyting algebra:
  - an ordered set with g.l.b. (to interprete ∧, ∀ and ⊤) and l.u.b. (to interprete ∨, ∃ and ⊥) and → (to interprete ⇒)
  - like boolean algebra but with weaker complement
- Truth value algebra : same as Heyting algebra but order replaced by pre-order

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## Truth values algebra based models

- Propositions interpreted in a TVA  ${\cal B}$
- we keep soundness and completeness
- in deduction modulo, additional constraint:

$$A \equiv B$$
 implies  $\llbracket A \rrbracket = \llbracket B \rrbracket$ 

Notice

 $A \Leftrightarrow B \text{ (only) implies } (\llbracket A \rrbracket \leq \llbracket B \rrbracket \text{ and } \llbracket B \rrbracket \leq \llbracket A \rrbracket)$ 

## Super consistency

 $\equiv$  is super-consistent if for all TVA  ${\cal B}$  it has a  ${\cal B}\mbox{-valued model}$ 

- reducibility candidates form a TVA (and not a HA!)
- super-consitency implies normalization (Dowek)
- hence super-consitency implies cut elimination
- we give here a simpler proof

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- we simplify the algebra "candidates of reducibility"
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- ► the sequents truth value ⊥ : set of sequents that have a neutral cut-free proof
- ▶ super-consistency implies the existence of a model  $\mathcal{M}$  where  $\llbracket A \rrbracket_{\phi}$  is an element of S

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## From the algebra of sequents to the Algebra of contexts $\boldsymbol{\Omega}$

- S is not a Heyting Algebra. Can we get back one ?
- turning the pre-order into an order (quotienting) would give a trivial HA (⊤ = ⊥).
- instead, we define some fibration over A:

$$[A] = \{ \Gamma \mid (\Gamma \vdash A) \in \llbracket A \rrbracket \}$$
$$[A]^{\sigma}_{\phi} = \{ \Gamma \mid (\Gamma \vdash \sigma A) \in \llbracket A \rrbracket_{\phi} \}$$

#### Some facts about ${\mathcal S}$ and $\Omega$

$$\begin{array}{c|c} \mathcal{S} & \Omega \\ (\Gamma, A \vdash A) \in a \\ (\Gamma \vdash A) \in a \text{ iff } (\Gamma \vdash B) \in a \\ (\Gamma \vdash A) \in b \end{array} \begin{array}{c} \Gamma, A \in [A] \\ [A] = [B] \\ \Gamma \in [A] \end{array} \begin{array}{c} \text{axiom} \\ \text{if } B \equiv A \\ \Rightarrow \Gamma \vdash_{cf} A \end{array}$$

Key lemma: [] defines almost a model interpretation !

- $[\bot]$  is the least element of  $\Omega$ .
- $\blacktriangleright [A \land B] = [A] \cap [B]$

• 
$$[\forall xA] = \bigcap [A]_{d/x}^{t/x}$$
 with  $d \in M$ , t closed term.

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Only missing to get a model: the domain!

- hybridization  $D = T \times M = \{ \langle t, d \rangle \}.$
- interpretation for symbols

$$\begin{split} \hat{f}^{\mathcal{D}}(\langle t_{1}, d_{1} \rangle, ..., \langle t_{n}, d_{n} \rangle) &= \langle (f(t_{1}, ..., t_{n}), \hat{f}^{\mathcal{M}}(d_{1}, ..., d_{n}) \rangle \\ \hat{P}^{\mathcal{D}}(\langle t_{1}, d_{1} \rangle, ..., \langle t_{n}, d_{n} \rangle) &= [(t_{1}/x_{1}, ..., t_{n}/x_{n})P]_{(d_{1}/x_{1}, ..., d_{n}/x_{n})} \\ &= \{ \Gamma \mid (\Gamma \vdash P(t_{1}, ..., t_{n})) \in \llbracket P \rrbracket_{(d_{1}/x_{1}, ..., d_{n}/x_{n})} \} \end{split}$$

remember: *M* given by super-consistency applied to *S*.
Embedding a (possibly) complex structure at the term level.

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## Finally the theorem ...

#### Assume $\Gamma \vdash A$ has a proof (with cuts)

- $\blacktriangleright \ \Gamma \in [\land \Gamma]$
- $[\Gamma] \leq [A]$  in  $\mathcal{D}$  by (usual) soundness
- ▶  $\Gamma \in [A]$  implies  $\Gamma \vdash_{cf} A$
- ▶ Q.E.D.

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# A case study: HOL

Super-consistency constructs the following  $\mathcal{M}$ -valued Algebra:

- the domain is, respectively for each type
  - ► M<sub>o</sub> = S (anticipating that o is the type of "propositional content")
  - $M_{\iota} = \{0\}$  (or any other "dummy" constant)
  - $M_{T \to U} = M_U^{M_T}$  (functional space)
- the (immediate) interpretation for the symbols:
  - $\hat{\varepsilon}: a \mapsto a$ .
  - $\hat{\wedge} = \tilde{\wedge}$  (the operation of  $\mathcal{M}$ ), ...

Exporting this into  $\mathcal{D}$  (the  $\Omega$ -valued model):

- $D_o = \{ \langle t, d \rangle \}$ , t closed term of sort  $o, d \in S$ .
- $D_{\iota} = \{\langle t, 0 \rangle\}$  (dummy constant)
- $D_{T \to U} = \{ \langle t, f \rangle \}$  with t of sort  $T \to U$  and  $f \in M_U^{M_T}$ .
- ▶ application is pointwise:  $\hat{\alpha}(\langle t, f \rangle, \langle u, g \rangle) = \langle tu, f(g) \rangle$ .
- re-inventing (and simplifying) V-complexes

The V-complexes semantic method

Takahashi, Prawitz, Andrews, Okada, De Marco, Lipton ... Idea: find a (Heyting-valued) model such that  $\llbracket \Gamma \rrbracket \leq \llbracket A \rrbracket$  implies  $\Gamma \vdash_{cf} A$ . Take care of intensionality and impredicativity !

- the Heyting Algebra has for basis  $[A] = \{ \Gamma \mid \Gamma \vdash_{cf} A \}$
- The construction of the domains D has to be intricated. Requires accuracy.
- Our construction in two steps (thanks to the choice M<sub>o</sub> = S and not {0,1}) avoids this.

## Comparison

We have two semantical methods:

	V-complexes	Hybridization
applies to	HOL	any case (including HOL)
Do	$\subset \mathcal{T} \times \Omega$	$=\mathcal{T} imes\mathcal{S}$
$\langle t, f \rangle \bullet \langle u, g \rangle$	$f(\langle u,g \rangle)$	$\langle tu, f(g) \rangle$

This all comes from  $\Omega \neq S$ .

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- future work: extension to normalization ? extension to non super-consistent theories ?
- Heyting (v.s. Kripke) fight back (NBE : Coquand, Altenkirch, Hofman, Streicher)
- Reverse engineering ? i.e. Could this helps understand the historical V-complexes ? Generalize them ?