# Deduction and Computation through Deduction Modulo

Olivier Hermant

19 November 2007

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# **Deduction and Computation**

- Computation is at the root of mathematics.
- It has been forgotten by the formalization of the mathematics.
- reborn with informatics: rewriting rules.
- we need a balance between deduction steps and computation steps.

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#### Deduction systems: the logical framework

First-order logic: function and predicate symbols, logical connectors: ∧, ∨, ⇒, ¬, and quantifiers ∀, ∃.

Even(0) $\forall n(Even(n) \Rightarrow Odd(n+1))$  $\forall n(Odd(n) \Rightarrow Even(n+1))$ 

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a sequent :



- rules to form them: sequent calculus (or natural deduction)
- framework: intuitionnistic logic (classical, linear, higher-order, constraints ...)

Deduction System : sequents calculus (LJ)

A deduction rule:

$$\frac{\Gamma \vdash A \qquad \Gamma \vdash B}{\Gamma \vdash A \land B}$$

right and left rules



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#### $\forall x P(x) \vdash P(0) \land P(1)$

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# $\frac{\forall x P(x) \vdash P(0) \quad \forall x P(x) \vdash P(1)}{\forall x P(x) \vdash P(0) \land P(1)} \land -r$

$$\forall \mathsf{-I} \frac{\forall x P(x), P(0) \vdash P(0)}{\forall x P(x) \vdash P(0)} \frac{\forall x P(x), P(1) \vdash P(1)}{\forall x P(x) \vdash P(1)} \forall \mathsf{-I}$$

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the first rule is not always "don't care": free variable condition.

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# Axioms vs. rewriting

Axioms	Rewriting
x + S(y) = S(x + y)	$x + S(y) \rightarrow S(x + y)$
x + 0 = x	$x + 0 \rightarrow x$
x * 0 = 0	$x * 0 \rightarrow 0$
x * S(y) = x + x * y	$x * S(y) \rightarrow x + x * y$
$(x * y = 0) \Leftrightarrow (x = 0 \lor y = 0)$	$(x * y = 0) \rightarrow (x = 0 \lor y = 0)$
$\overline{\mathcal{T} \vdash 2 \ast 2 = 4}$	$\phantom{aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa$
$\overline{\mathcal{T}} \vdash \exists x (2 * x = 4)$	$\overline{x \mapsto \exists x(2 * x = 4)}$

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General form (free variables are possible):

 $I \rightarrow r$ 

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- advantage: expressiveness
- we obtain a congruence modulo  $\mathcal{R}$  (chosen set of rules): =
- deduction rules transform as such:

axiom  $\overline{\Gamma, A \vdash A}$  becomes  $\overline{\Gamma, A \vdash B}$  axiom,  $A \equiv B$ 

#### Deduction modulo : sequent calculus modulo

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash C} \text{axiom } A \equiv B \qquad \qquad \frac{\Gamma, A \vdash C \ \Gamma \vdash B}{\Gamma \vdash C} \text{cut } A \equiv B$$

$$\frac{\Gamma \vdash A \ \Gamma \vdash B}{\Gamma \vdash C} \land -r \ A \land B \equiv C \qquad \qquad \frac{\Gamma, A, B \vdash C}{\Gamma, D \vdash C} \land -l \ A \land B \equiv D$$

$$\frac{\Gamma, B, A[t] \vdash C}{\Gamma, B \vdash C} \forall -l \ \forall x A[x] \equiv B \qquad \qquad \frac{\Gamma \vdash A[x]}{\Gamma \vdash B} \forall -r^* \ \forall x A[x] \equiv B$$

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$$\begin{array}{rcl} P(0) & \rightarrow & A \\ P(1) & \rightarrow & B \end{array}$$

 $\forall x P(x) \vdash A \land B$ 

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$$P(0) \rightarrow A$$

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$$P(0) \rightarrow A$$

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axiom
$$\frac{\forall x P(x), P(0) \vdash B}{\forall x P(x) \vdash A} \qquad \frac{\forall x P(x), P(1) \vdash B}{\forall x P(x) \vdash B} \qquad \text{axiom}$$

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Cut rule: a detour

$$\frac{\Gamma, A \vdash B \ \Gamma \vdash C}{\Gamma \vdash B} \text{ cut, } A \equiv C$$

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- show Γ ⊢ A
- show  $\Gamma, A \vdash B$
- then, you have showed  $\Gamma \vdash B$
- it is the application of a lemma.

• consider the rewriting system  $\mathcal{R}$ :

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$$P(0) \rightarrow A$$

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- an unnecessary detour
- we could have cutted on any formula!

#### The cut rule: a detour

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- we show  $\Gamma, A \vdash B$  and  $\Gamma \vdash A$
- then we have showed  $\Gamma \vdash B$ .
- Iemma: the good way for a human being.
- in practice: not adapted for automatic demonstration. Nb: resolution method *do not* proceed by cuts !

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- eliminating cuts: a key result.

$$\Gamma \vdash A \triangleright \Gamma \vdash_{cf} A$$

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- two main paths towards:
  - proof normalization (syntactic).
  - semantical methods.
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- two main paths towards:
  - proof normalization (syntactic).
  - semantical methods.
- ► in deduction modulo: indecidable, need for general criterions on R

# The normalization method(s)

- Curry-Howard: proofs = programs
- formulas = types
- proof tree = typing tree
- ▶ at the heart of proof assistants (PVS, Coq, Isabelle, ...)
- when a program calculates, it performs a cut elimination procedure.

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show that all typables function terminates.

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- define a semantical space (truth value). Ex: Boolean algebras.
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- define a semantical space (truth value). Ex: Boolean algebras.
- we must have soundness/completeness wrt the semantic.
- there is links between both methods (last part of the talk).

### The semantical method



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#### The semantical method



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Two main semantics for intuitionistic logic:

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Heyting algebras [Lipton,Okada]

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- Heyting algebras [Lipton,Okada]
- Kripke structures

Two main semantics for intuitionistic logic:

Kripke structures

A Kripke Structure (KS) is a tuple  $\langle K, \leq, D, \Vdash \rangle$ :

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▶ ⊩ is a relation between worlds and formulas, verifiying:

- *P* atomic: if  $\alpha \leq \beta$  and  $\alpha \Vdash P$ , then  $\beta \Vdash P$ .
- $\alpha \Vdash A \Rightarrow B$  iff for any  $\beta \ge \alpha$ , when  $\beta \Vdash A$  then  $\beta \Vdash B$ .

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•  $\alpha \Vdash A \lor B$  iff  $\alpha \Vdash A$  or  $\alpha \Vdash B$ .

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- $\alpha \Vdash A \Rightarrow B$  iff for any  $\beta \ge \alpha$ , when  $\beta \Vdash A$  then  $\beta \Vdash B$ .
- $\alpha \Vdash A \lor B$  iff  $\alpha \Vdash A$  or  $\alpha \Vdash B$ .
- Additional constraint in deduction modulo:

$$A \equiv B$$
 implies  $\alpha \Vdash A \Leftrightarrow \alpha \Vdash B$ 

### Kripke structures at work

- $A \lor (\neg A)$  is well-known not to be valid in intuitionistic logic.
- we build a structure that is invalidating this formula. Note: at least two worlds (single world = boolean model).

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 $\blacktriangleright \neg A = A \Rightarrow \bot$ 

$$\beta \Vdash A$$
$$|$$
$$\alpha \Vdash \emptyset$$

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$$\neg A = A \Rightarrow \bot$$

$$\beta \Vdash A \qquad \qquad \beta \Vdash A \\
 \begin{vmatrix} & & & \\ & & \\ & & \\ &$$

#### Constructive proof: the algorithm behind



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Searching for a counter-model

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- Exhaustive algorithm, each branch represents a potential counter-model.

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in deduction modulo: allow rewrite rules, define a new systematic research algorithm with R.

- We want to show " $A \lor B \vdash C \Rightarrow A$ "
- ► transation in tableau language: there is NO (node of no) Kripke structure satisfying A ∨ B without satisfying also C ⇒ A. Let's see if the counter-model search fails or not.
- We choose as usual sequences of integers for the set of worlds (partial order: prefix).

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 $T\emptyset \Vdash A \lor B, F\emptyset \Vdash C \Rightarrow A$ 

 $T\emptyset \Vdash A \lor B, F\emptyset \Vdash C \Rightarrow A$ 



$$T \emptyset \Vdash A \lor B, F \emptyset \Vdash C \Rightarrow A$$
$$|$$
$$T 1 \Vdash C$$
$$|$$
$$F 1 \Vdash A$$

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► We want to show "⊢ 
$$(A \Rightarrow B) \Rightarrow (A \Rightarrow B)$$
"  
 $F_{\varnothing} \Vdash (A \Rightarrow B) \Rightarrow A \Rightarrow B$ 

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$$F_{\varnothing} \Vdash (A \Rightarrow B) \Rightarrow A \Rightarrow B$$

$$\downarrow \\ T_{1} \Vdash (A \Rightarrow B)$$

$$\downarrow \\ F_{1} \Vdash A \Rightarrow B$$

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$$F_{\varnothing} \Vdash (A \Rightarrow B) \Rightarrow A \Rightarrow B$$

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$$\downarrow \\ T_{11} \Vdash (A \Rightarrow B)$$

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If the systematic tableau generation fails (does not terminate): does it generate a counter-model ?

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  - the model is consistent with the branch:

$$Tp \Vdash P$$
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 deduction modulo: it has also to be a model of the rewrite rules *R*.

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- deduction modulo: it has also to be a model of the rewrite rules *R*.
- constructive point of view: if there is no counter-model, does the method terminate? (KS definition is modified)



- the right path generates counter model.
- the nerve: the atomic formulas each world entails (forces), extension by induction.

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Providing the confluence of the rewrite system  $\mathcal{R}$ , and for:

an order condition: >, well-founded, having the subformula property, and such that P →\* Q implies P > Q.

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► a positivity condition: if A → P then P has only positive occurences of atoms.

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- ▶ both conditions mixed:  $\mathcal{R}_{>} \cup \mathcal{R}_{+}$ , with a compatibility condition.
- the rule:

$$R \in R \to \forall y \; (\forall x (y \in x \Rightarrow R \in x) \Rightarrow (y \in R \Rightarrow (A \Rightarrow A)))$$



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Tableaux soundness

We show the following theorem:

### Theorem

If a tableau starting with  $T\emptyset \Vdash \Gamma$ ,  $F\emptyset \Vdash P$  is closed, then we can transform it into a proof of  $\Gamma \vdash_{cf} P$ .

intuitionistic diffculty: in a tableau, there might be more than one "non true" formula:

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we must derive the following rule:

$$\frac{\Gamma \vdash_{cf} A \lor B \quad \Gamma \vdash_{cf} A \lor C}{\Gamma \vdash_{cf} A \lor (B \land C)}$$

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(similar to "multi succedent intuitionistic sequent calculus").

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intuitionistic diffculty: in a tableau, there might be more than one "non true" formula:

we must derive the following rule:

$$\frac{\Gamma \vdash_{cf} A \lor B \quad \Gamma \vdash_{cf} A \lor C}{\Gamma \vdash_{cf} A \lor (B \land C)}$$

(similar to "multi succedent intuitionistic sequent calculus").

easy with cut, hard without.

# Normalization (in a nutshell)

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Notation for proofs:

$$\frac{\Gamma, x : A \vdash \pi : B}{\Gamma \vdash \lambda x.\pi : A \Rightarrow B}$$

 $\frac{\Gamma \vdash \pi' : A \qquad \Gamma \vdash \pi : A \Rightarrow B}{\Gamma \vdash (\pi\pi') : B}$ 

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- extends to deduction modulo: rewrite rules are silent.

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aim: show that every proof normalizes: then the cut elimination process terminates.

 deduction modulo is high-level: we need reducibility candidates.

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- deduction modulo is high-level: we need reducibility candidates.
- A reducibility candidate: a set of proofs that are normalizing (and some other properties).

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- deduction modulo is high-level: we need reducibility candidates.
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- our aim: to each formula *A*, find a candidate  $\llbracket A \rrbracket$ . Show that if  $\Gamma \vdash \pi : A$  then  $\pi \in \llbracket A \rrbracket$ .
- in deduction modulo, if  $A \equiv B$ , additional constraint:

$$\llbracket A \rrbracket = \llbracket B \rrbracket$$

# Towards "usual" semantics

such methods are defined in deduction modulo (Heyting arithmetic, higher-order logic, Zermelo's set theory, ...)

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# Towards "usual" semantics

- such methods are defined in deduction modulo (Heyting arithmetic, higher-order logic, Zermelo's set theory, ...)
- the sets of candidates have a structure: pseudo Heyting algebras [Dowek].

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# Heyting algebras

- a universe Ω
- an order



# Heyting algebras

- a universe Ω
- an order
- operations on it: lowest upper bound (join: ∪ pseudo union), greatest lower bound (meet: ∩ – intersection).

 $a \cap b \le a$   $a \cap b \le b$   $c \le a$  and  $c \le b$  implies  $c \le a \cap b$  $a \le a \cup b$   $b \le a \cup b$   $a \le c$  and  $b \le c$  implies  $a \cup b \le c$ 

► think about R and closed sets (infinite l.u.b. is not infinite union)

# pseudo-Heyting algebras

- a universe Ω
- a pseudo order:  $a \le b$  and  $b \le a$  with  $a \ne b$  possible.
- operations on it: lowest upper bound (join: ∪ pseudo union), greatest lower bound (meet: ∩ – intersection).

 $a \cap b \le a$   $a \cap b \le b$   $c \le a$  and  $c \le b$  implies  $c \le a \cap b$  $a \le a \cup b$   $b \le a \cup b$   $a \le c$  and  $b \le c$  implies  $a \cup b \le c$ 

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- such methods are defined in deduction modulo (Heyting arithmetic, higher-order logic, ...)
- the sets of candidates have a structure: pseudo Heyting algebras.

# Towards "usual" semantics

- such methods are defined in deduction modulo (Heyting arithmetic, higher-order logic, ...)
- the sets of candidates have a structure: pseudo Heyting algebras.
- but ... Heyting algebras used for semantical cut elimination.
define

$$[A] = [[A]] \triangleleft A = \{ \Gamma \mid \Gamma \vdash \pi : A, \pi \in [[A]] \}$$

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- this proves semantical cut elimination.
- Takahashi, Prawitz, Schütte, higher-order V-complexes (extended).

Computational content: what kind of algorithm ?

Let's consider the rule:

$$R \in R \to \forall y \; (\forall x (y \in x \Rightarrow R \in x) \Rightarrow (y \in R \Rightarrow (A \Rightarrow A)))$$

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has semantical cut elimination but no normalization.

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- has semantical cut elimination but no normalization.
- this can not be a normalization algorithm.
- it is more or less the tableau method described in the first part.

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This diagram does not commute.



- This diagram does not commute.
- But: normalization methods "generate" a certain kind of semantical cut elimination proof: normalization by evaluation (weak fibring).

#### Further work

- there is normalization by evaluation work, but in a Kripke style: links with both works ?
- do the candidates always have a "pseudo-" structure ?
- realizing rewrite rule not with *λx.x* (not silently), could recover normalization.